

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NISHIHARA et al.
Docket No.: 10873.788USC2
Title: INFORMATION RECORDING MEDIUM AND METHOD FOR
PRODUCING THE SAME, AND METHOD FOR RECORDING/
REPRODUCING INFORMATION THEREON

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 347847888 US
Date of Deposit: August 8, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop CONTINUATION PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: John Junkers

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop CONTINUATION PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/943,327 filed on August 29, 2001.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

Japanese reference 10-112028 corresponds with U.S. 6,143,468.

Japanese reference 11-195243 corresponds with U.S. 6,221,455.

Japanese reference 2000-36130 corresponds with EP 0 957 477.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

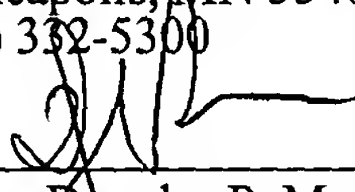
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Dated: August 8, 2003

By: 

Douglas P. Mueller
Reg. No. 30,300

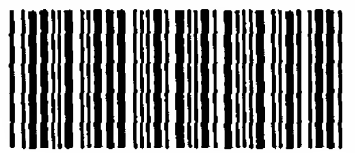
DPM/jh

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.788USC2	Application Number: unknown
	Applicant: Nishihara et al.	
	Filing Date: August 8, 2003	Group Art Unit: unknown

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,498,507	03.1996	Handa et al.			
	5,410,534	04.1995	Nagata et al.			
	5,652,037	07.1997	Ohkawa			
	6,456,584	09.2002	Nagata			
	2002/0018870	02.2002	Meinders			
	6,143,468	11.2000	Ohno et al.			
	6,221,455	04.2001	Yasuda et al.			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 957 477	11.1999	EPO				
	2-147289	05.2001	Japan			Partial	
	10-112028	04.1998	Japan			See IDS	
	11-195243	07.1999	Japan			See IDS	
	2000-36130	02.2001	Japan			See IDS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		"Basic and Application of Optical Disk Storage" by Yoshito Tsunoda et al., The Institute of Electronics, Information and Communication Engineers, 1995, Chapter 2 with partial English translation
		"Wave Optics" by Hiro Kubota, Iwanami-Shorten, 1971, Chapter 3 with partial English translation

EXAMINER	DATE CONSIDERED	 23552 PATENT TRADEMARK OFFICE
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through cit and not considered. Include copy of this form for next communication to the Applicant.		